

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

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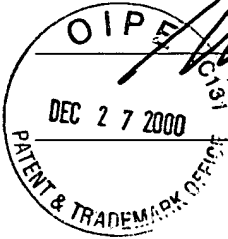
Michael P. Chu, Reg. No. 37,112

Name of Applicant, Assignee or  
Registered Representative

Signature

(2-22-00)

Date of Signature



Our Case No.: 5658/729

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Daniel L. Poole et al.

Serial No.: 09/451,580

Filing Date: November 30, 1999

For: CLAMP DEVICE

Examiner: W. Berry, Jr.

Group Art Unit No.: 3723

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

#6/2125  
11/17/01  
V. Hant

The references now cited are the following:

### US PATENTS

DOCUMENT NUMBER	DATE	NAME
838,514	12/18/1906	Baker, G. W.
1,189,561	07/04/1916	Griminger, G. W.
1,772,428	08/05/1930	Palotce, J. J.
1,879,452	09/27/1932	Palotce, J. J.
1,944,116	01/16/1934	Stratman, E. A.
2,386,570	10/09/1945	Petrie, S. I.
2,539,865	01/30/1951	Sarvie, W.
2,595,989	05/06/1952	Smeltz, H. H.
2,620,697	12/09/1952	Sarvie, W.
2,701,487	02/08/1955	Ortman, C. H.
3,313,190	04/11/1967	Bothum, J. D.
3,608,404	09/28/1971	Sarvie, W. L.
4,538,485	09/03/1985	Saila, T.
4,923,340	05/08/1990	Hegedusch, J.
5,275,391	01/04/1994	Lynn et al.
5,281,058	01/25/1994	Hill, H.
5,284,331	02/08/1994	Lee et al.
Des. 348,816	07/19/1994	Degen, K.
5,591,176	01/07/1997	Hendersen et al.
5,674,244	10/07/1997	Mathys, R.
5,681,034	10/28/1997	Noniewicz, Z.
5,681,134	10/28/1997	Ebert, W.
5,695,304	12/09/1997	Ebert, W.
Des. 392,866	03/31/1998	Degen et al.
5,791,210	08/11/1998	Wolff et al.
5,954,460	09/21/1999	Degen et al.
Des. 417,377	12/07/1999	Blank et al.
Des. 430,782	09/12/2000	Renner, R.

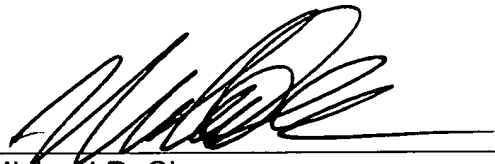
In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

A fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$240.00 is enclosed herewith. Should the enclosed check be insufficient, omitted or should any additional

fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Michael P. Chu', is written over a horizontal line.

Michael P. Chu  
Registration No. 37,112  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
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(312) 321-4200

37038

TRANSMITTAL LETTER			Case No. 5658/729
Serial No. 09/451,580	Filing Date November 30, 1999	Examiner Berry, W.	Group Art Unit 3723
Inventor(s) Daniel L. Poole et al.			
Title of Invention CLAMP DEVICE			

DEC 27 2000

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Amendment A, Supplemental Information Disclosure Statement; PTO Form 1449 with 28 cited references.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a three month extension of time.
- ☐ No additional fee is required.
- ☒ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	36	Minus	20	16
Indep.	10	Minus	3	7
First Presentation of Multiple Dep. Claim				

#### Small Entity

Rate	Add'l Fee
x \$9 =	
x 40 =	
+ \$135 =	
Total add'l fee	\$

or

#### Other Than Small Entity

Rate	Add'l Fee
x \$18 =	288
x \$80 =	560
+ \$270 =	
Total add'l fee	\$848

- ☒ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ 848. A duplicate copy of this sheet is enclosed.
- ☒ Checks in the amount of \$890.00 and \$240.00 to cover the filing fees are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Michael P. Chu  
Registration No. 37,112  
Attorney for Applicant

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 22, 2000.

Date:

12-22-00

Signature:

rev. Oct.-00

F:\COMMON\TKK\5658-279 Amendment Transmittal.doc

Repl. Ref: 01/03/2001 SUDNG 0017554500  
DAH:231925 Name/Number:09451580  
FC: 704 \$81.00 CR